



Section 116

Docket No.: 21144-706

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

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☒ deposited with the United States Postal Service as First Class Mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: February 3, 2000

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Name of Person Certifying: Carol M. Gruppi

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cabot et al.

Assignee: John Wayne Cancer Institute

Filing Date: November 12, 1999

Examiner: To Be Assigned

Serial No.: 09/439, 293

Group Art Unit: 1615

Title: Methods of Reversing Drug Resistance in Cancer Cells

**Submission of Missing Parts of Application
Under 37 C.F.R. 1.53**

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed are the following items for filing in the above-referenced U.S. Patent Application:

 X Declaration and Power of Attorney, executed by the applicants on January 13, 2000.

 A Petition for a month extension of time for responding to the Notice of Missing Parts dated .

 X Verified Statement Claiming Small Entity Status, executed by the applicant on January 13, 2000.

- ☒ 1 check in the amount of \$105.00, to cover the surcharge set forth in 37 CFR 1.16(l) [\$65.00 for small entity], and the Recordation Filing Fee [\$40.00].
- ☐ Charge the fee for the Surcharge of under 37 C.F.R. 1.16(e) and for the Extension of Time of to Deposit Account No. 50-1189. Order No. . A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☐ Request for Refund under 37 CFR 1.28(a) for the overpayment of the filing fee and surcharge.
- ☒ Assignment executed by the applicants on January 14, 2000 and Recordation Form PTO 1594.
- ☒ Copy of Notice of Missing Parts of Application dated December 8, 1999.
- ☒ The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1189, Order No. 21144-706. A duplicate copy of this sheet is enclosed.

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Date: February 3, 2000

Respectfully submitted,

By: 

Carol M. Gruppi
Registration No. 37,341

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